



Unusual Forms of Energy in Synthesis: Microwave irradiation.

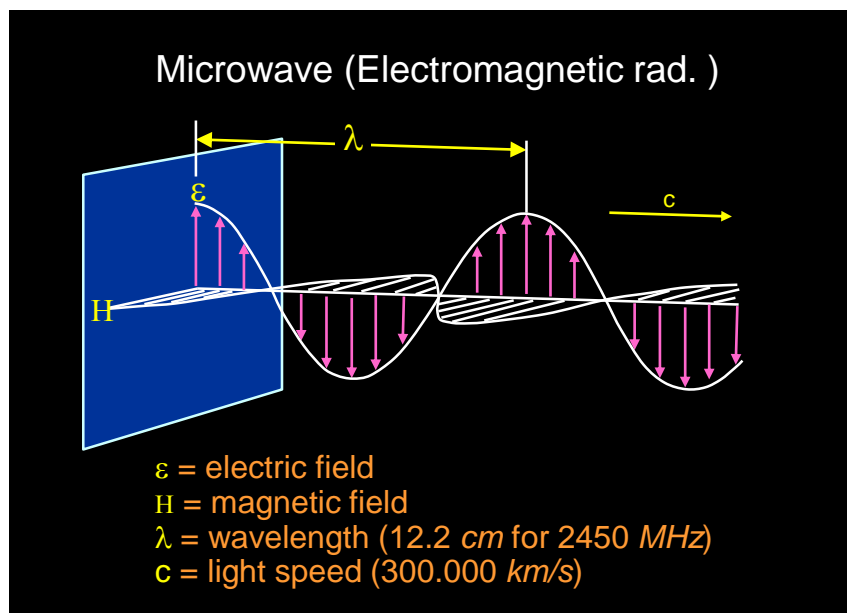
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Dipartimento CMIC "Giulio Natta"

<http://iscamapweb.chem.polimi.it/citterio/education/course-topics/>

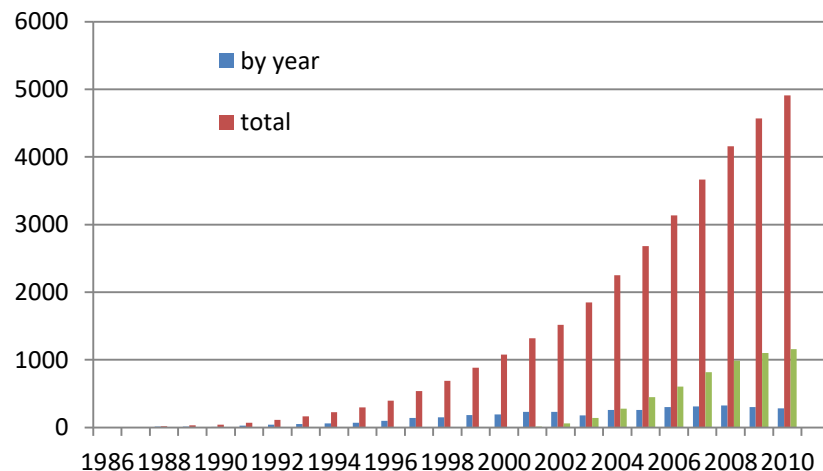


Microwave Irradiation (MW).



Microwave Chemistry

Publications on Microwaves in Chemistry

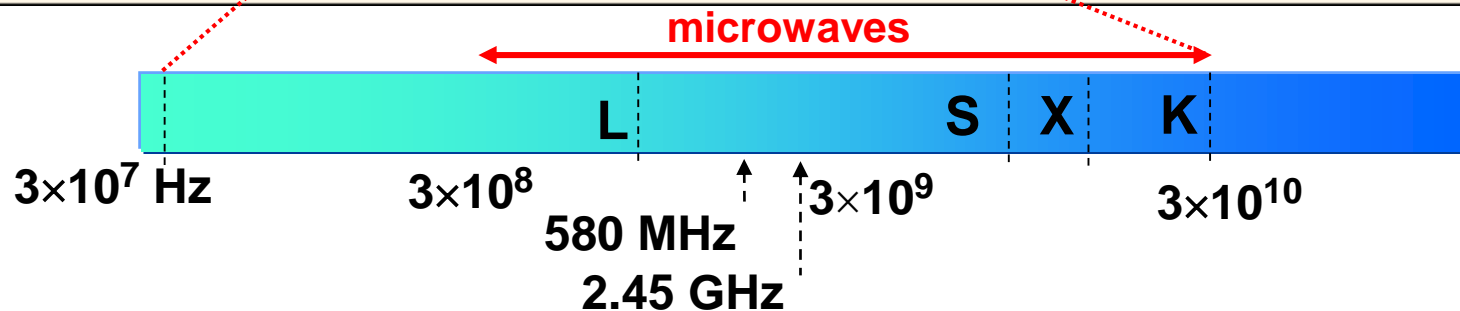
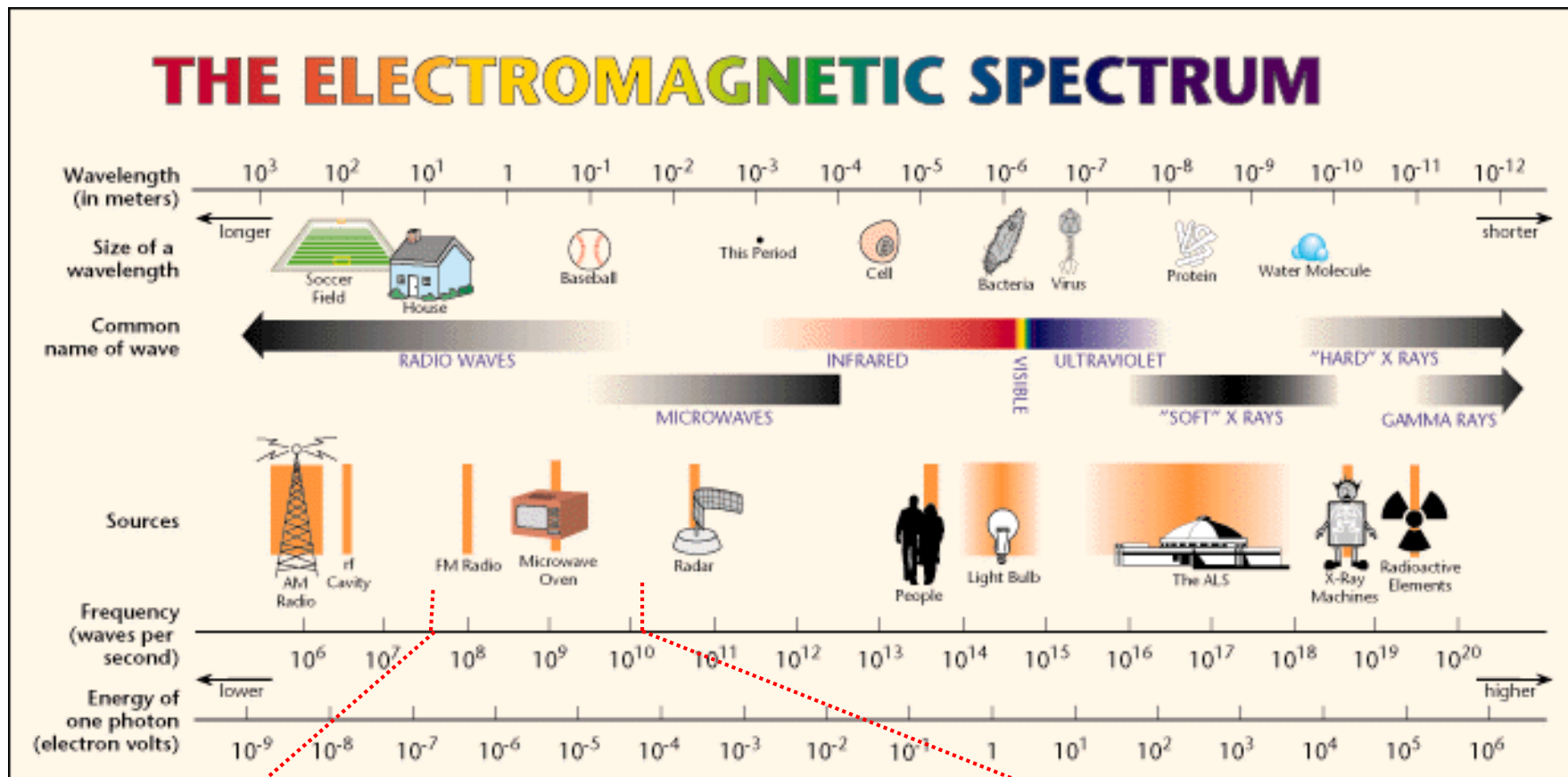


O. Kappe et al. *Microwaves in Organic and Medicinal Chemistry*, Volume 52 (2012)

- microwaves (2.45 GHz)
- WWII –radar (magnetron)
- 1950s food industry
- 1984 first application in chemical synthesis

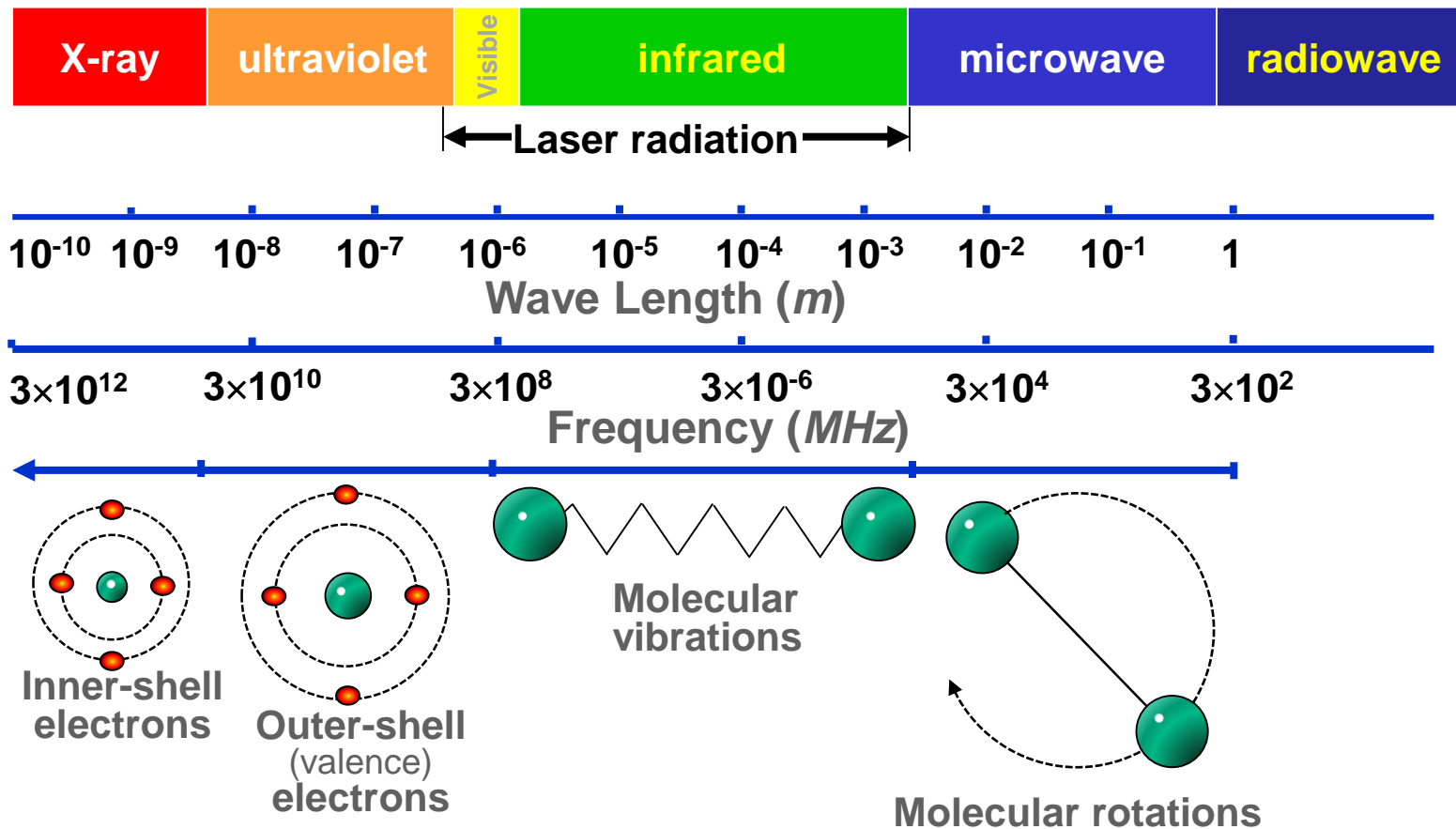


Microwave Irradiation.





Electromagnetic Spectrum.



Neas, E.; Collins, M. Introduction to Microwave Sample Preparation: Theory and Practice, 1988, p. 8.



History or how it all began...

- While fire is now rarely used in synthetic chemistry, it was not until Robert Bunsen invented the burner in **1855** that the energy from this heat source could be applied.
- There is some controversy about the origins of the microwave power cavity called the **magnetron** – the high-power generator of microwave power.
- The British were particularly forward-looking in deploying radar for air defence with a system called Chain Home which began operation in 1937.
- Originally operating at 22 MHz, frequencies increased to 55 MHz .
- **1921 was published by A.W. Hull the earliest description of the magnetron, a diode with a cylindrical anode**
- **1940 It was developed practically by Randall and Booth at the University of Birmingham in England; they verified their first microwave transmissions: 500 W at 3 GHz.**
- A prototype was brought to the US in September 1940 to define an agreement whereby US industrial capability would undertake the development of microwave radar.
- **1940 the Radiation Laboratory was established at the Massachusetts Institute of Technology to exploit microwave radar.** More than 40 types of tube would be produced, particularly in the S-band (i.e. 300 MHz). The growth of microwave radar is linked with Raytheon Company and P.L. Spencer who found the key to mass production.



History or how it all began (2)...

- **1946 Dr Percy Spencer- the magnetron inventor; he has found a variety of technical applications in the chemical and related industries since the 1950s, in particular in the food-processing, drying, and polymer**
- **surprisingly, microwave heating has only been implemented in organic synthesis since the mid-1980s.**
- Today, a large body of work on microwave-assisted synthesis exists in the published and patent literature.
- Many review articles, several books and information on the world-wide-web already provide extensive coverage of the subject.
- **1969 “ Carrying out chemical reactions using microwave energy ”** J.W. Vanderhoff – Dow Chemical Company US 3,432,413
- **1986 “The Use of Microwave Ovens for Rapid Organic Synthesis”** Gedye, R. N. et al. *Tetrahedron Lett.* 1986, 27, 279
- **1986 “Application of Commercial Microwave Ovens to Organic Synthesis”** Giguere, R. J. and Majetich, G. *Tetrahedron Lett.* 1986, 27, 4945



Alternative Heating (old and new).



microwave



oil bath



heating mantle



Bunsen



Energy Calculations in MW.

Hot plate

- Energy = $698 \text{ J}\cdot\text{s}^{-1} \times 378 \text{ s} = 263844 \text{ J} = 263.8 \text{ kJ}$
- % efficiency = $(42.2 \text{ kJ}/263.8 \text{ kJ}) \times 100 = 16.0 \%$
- Cost = $6.75 \text{ c}\cdot\text{kwh}^{-1} \times (1 \text{ kwh}/3600 \text{ kJ}) \times 263.8 \text{ kJ} = 0.495 \text{ c}$

Microwave

- Energy = $1000 \text{ J}\cdot\text{s}^{-1} \times 62 \text{ s} = 62000 \text{ J} = 62.0 \text{ kJ}$
- % efficiency = $(42.2 \text{ kJ}/263.8 \text{ kJ}) \times 62.0 = 68.1 \%$
- Cost = $6.75 \text{ c}\cdot\text{kwh}^{-1} \times (1 \text{ kwh}/3600 \text{ kJ}) \times 62 \text{ kJ} = 0.116 \text{ c}$



Charge Oscillation in Matter.

Depending on the frequency, the electromagnetic field put one or more types of charge association under oscillation:

- Inner electrons tightly bound to the nuclei
- Valence electrons
- Free or conducting electrons
- Bound ions in crystals
- Free ions as in electrolytes and nonstoichiometric crystals
- Multipoles (mainly the quadrupoles and an antiparallel association of two dipoles)

Each association has its own critical frequency above which interaction with the field becomes vanishingly small, and the lower the frequency and more configurations are excited.

At frequency of 0.5-5 GHz molecular dipoles and ionic species (including conducting electrons) are the only absorbing charge associations.



Microwave Irradiation – Heating Effect.

Dielectric polarization:

$$\alpha_t = \alpha_e + \alpha_a + \alpha_d + \alpha_i$$

α_t – total polarization

α_e – electron polarization

α_a – atom polarization

α_i – interfacial polarization (Maxwell – Wagner effect)

α_d – dipolar polarization

- **MW reactors operate at 2.45 GHz.**
- **Electric field oscillates at 4.9×10^9 Hz at 10°C/sec heating rate.**



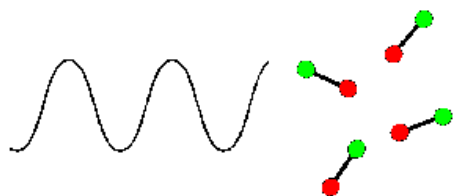
Microwaves – Dipolar Rotation.

- Polar molecules have intermolecular forces which give any motion of the molecule some inertia.
- Under a very high frequency electric field, the polar molecule will attempt to follow the field, but intermolecular inertia stops any significant motion before the field has reversed, and no net motion results.
- At very low frequency of field oscillation molecules will be polarized uniformly and no random motion results.
- In the **intermediate case**, the frequency of the field will be such that the **molecules** will be almost, but not quite, **able to keep in phase with the field polarity**.
- In this case, the random motion resulting as molecules jostle to attempt in vain to follow the field provides strong agitation and intense heating of the sample.
- At 2.45 GHz the field oscillates 4.9×10^9 times/s which can lead to heating rates of 10 °C per second when powerful waves are used.

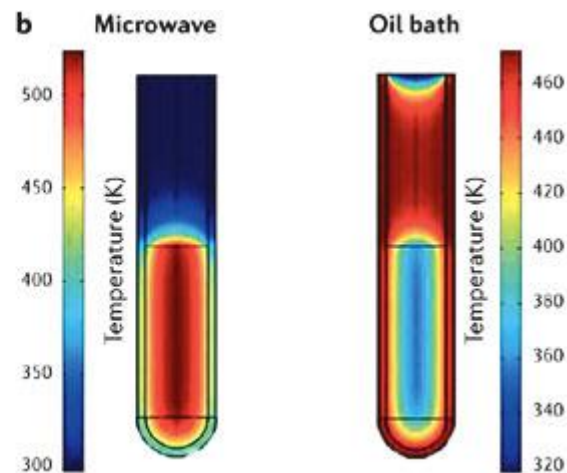
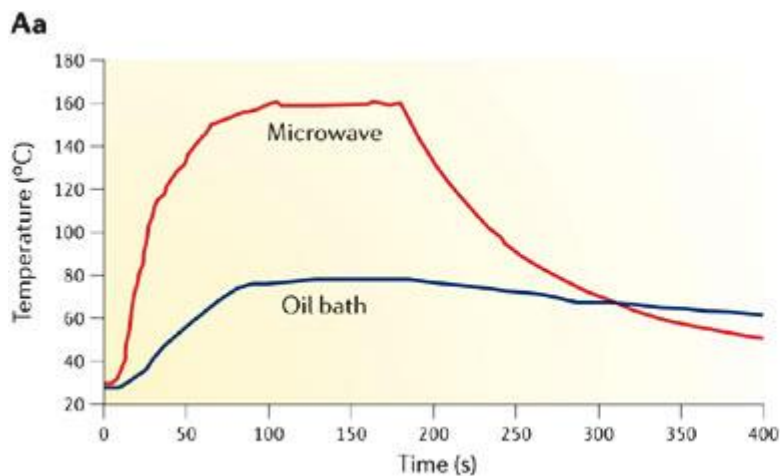
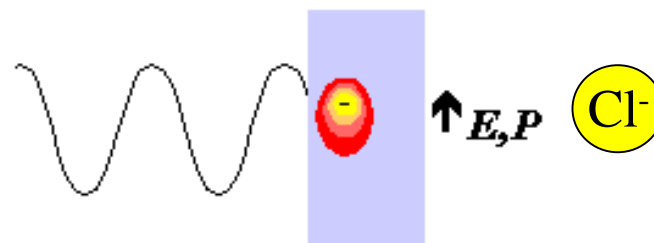


Microwave Irradiation – Heating Effect (2).

Dipole Rotation



Ionic Migration



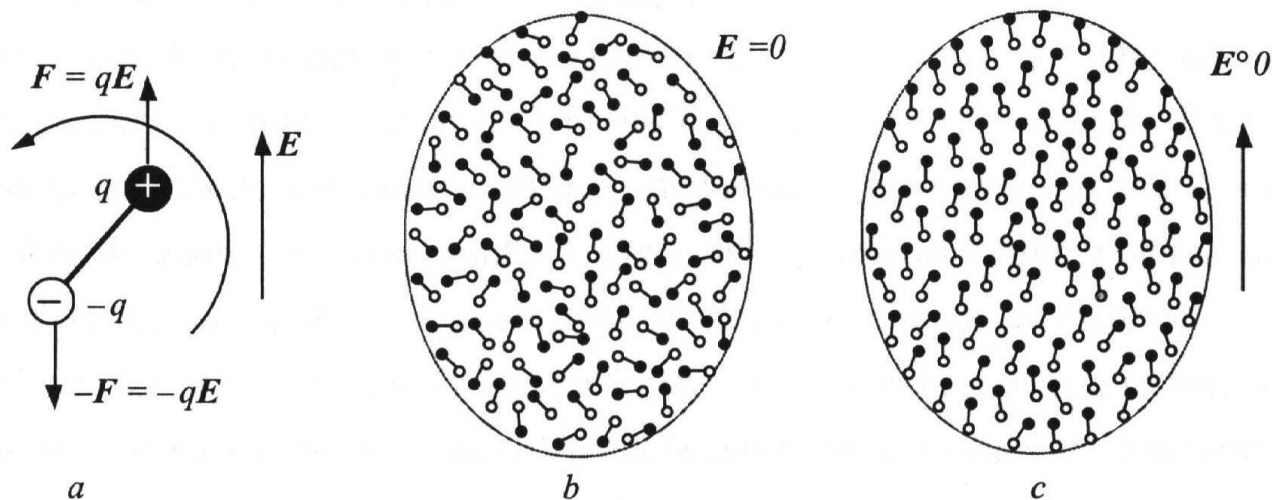
J.-S. Schanche, *Mol. Diversity* 2003, 7, 293.



Microwave: Effects on Molecules.

Microwaves interacts with permanent and induced dipoles present in molecules inducing:

- Orientation
- Oscillation



Mingos, D. M. P. et al., *Chem. Soc. Rev.* 1991, 20, 1 and 1998, 27, 213



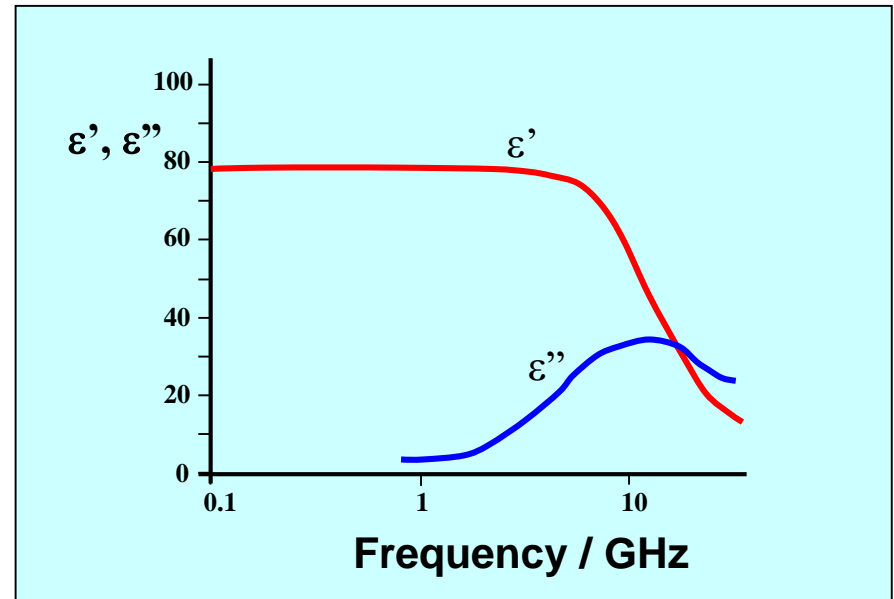
Microwave Irradiation – Heating Effect (3).

Dipolar polarization

$$\tan \delta = \varepsilon'' / \varepsilon'$$

ε' – dielectric constant

ε'' – dielectric loss



Variation of dielectric properties as a function of frequency

$$D_p \cong \lambda_0 \sqrt{(\varepsilon' / \varepsilon'')}$$

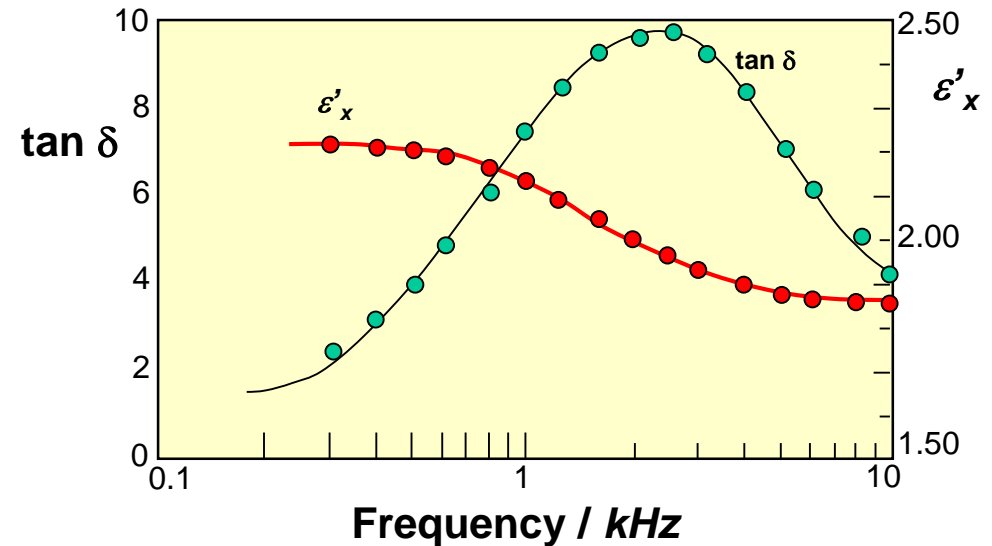
Depth of irradiation



Microwave Irradiation – Heating Effect (4).

Interfacial polarization

$$\varepsilon_i'' \approx \frac{9\nu\varepsilon'}{1.8 \cdot 10^{10} \sigma} \frac{(\omega\tau)}{(1 + \omega^2\tau^2)}$$



ν – volume

f_{max} – frequency at max loss

σ - conductivity

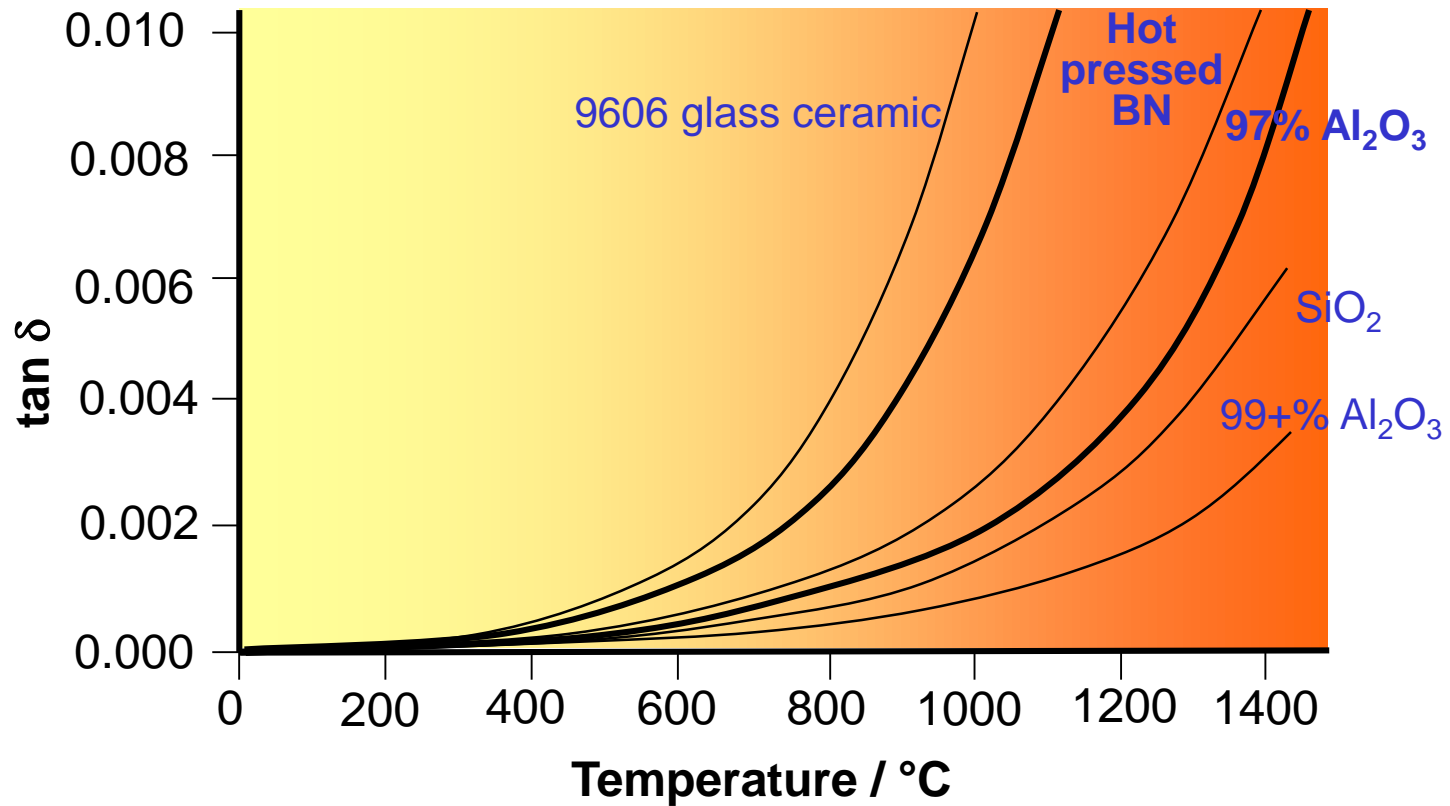
ω – frequency of relaxation

τ – time of relaxation



Microwave Irradiation – Heating Effect (5).

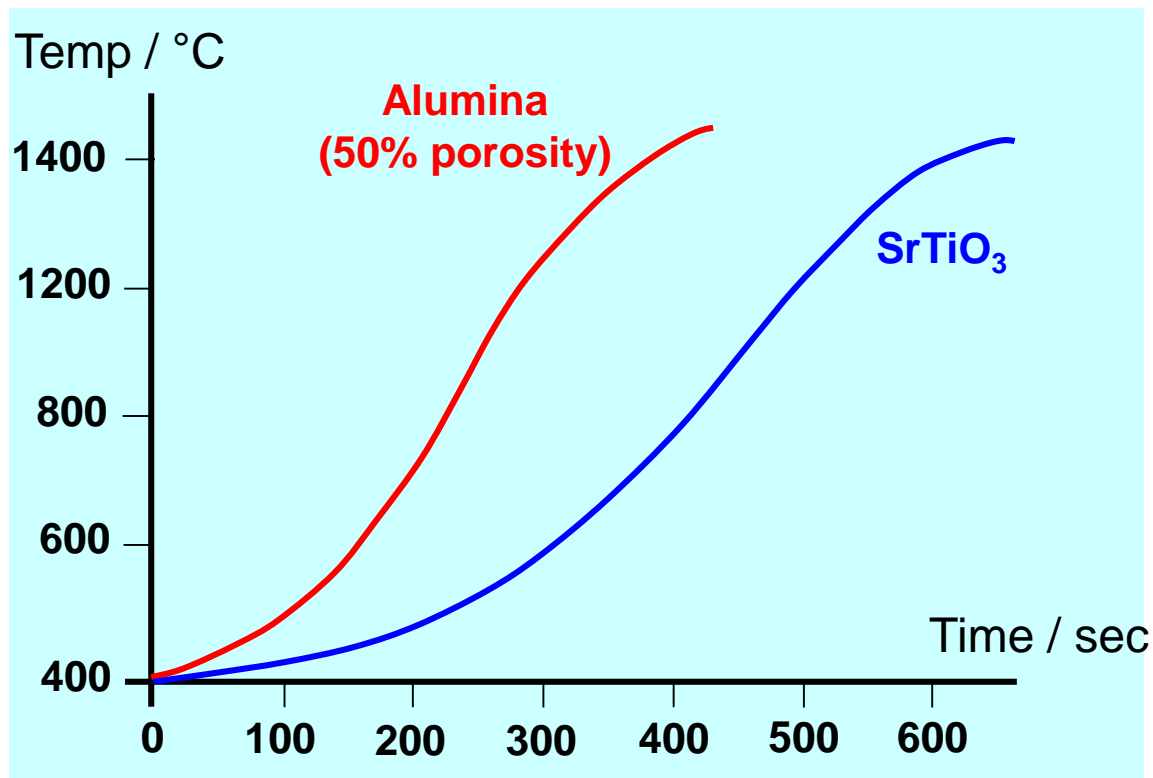
Interfacial polarization





Microwave Irradiation – Heating Effect (6).

Interfacial polarization





Dielectric Properties of Solvents.

Solvent	Frequency					
	$3 \cdot 10^8$ Hz		$3 \cdot 10^9$ Hz		$1 \cdot 10^{10}$ Hz	
	ϵ'	ϵ''	ϵ'	ϵ''	ϵ'	ϵ''
water	77.5	1.2	76.7	12.0	55.0	29.7
0.1 M NaCl	76	59	75.5	18.1	54	30
heptane	1.97	-	1.97	0.0002	1.97	0.003
methanol	30.9	2.5	23.9	15.3	8.9	7.2
ethanol	22.3	6.0	6.5	1.6	1.7	0.11
1-propanol	16.0	6.7	3.7	2.5	2.3	0.20
1-butanol	11.5	6.3	3.5	1.6	0.2	-
ethylene glycol	39	6.2	12	12	7	5.5
CCl ₄	2.2	-	2.2	0.0009	2.2	0.003



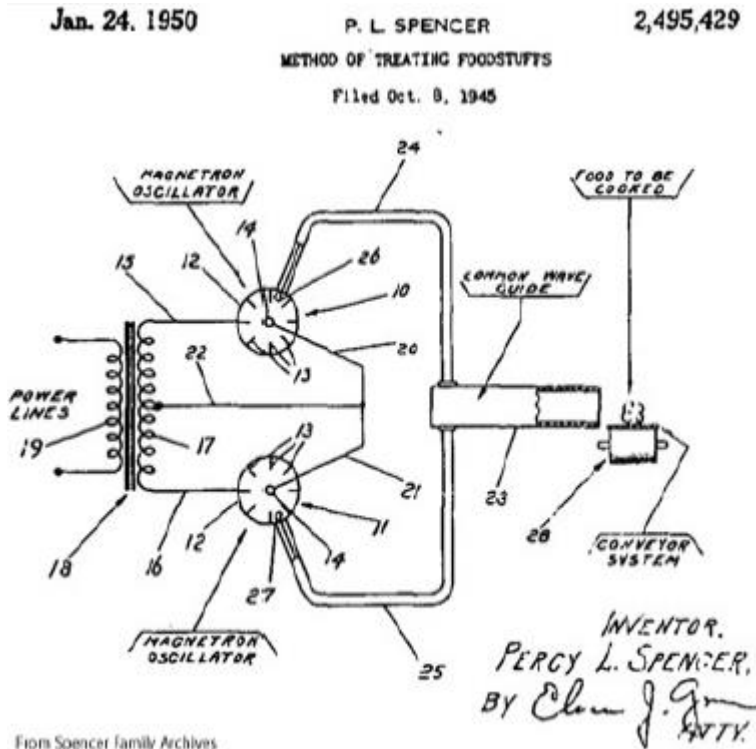
Microwave Irradiation – Heating Effect (7).

Sample	P(W)	t(min)	T (°C)	Sample	P(W)	t(min)	T(°C)
water	560	1	81	Al	1000	6	577
methanol	560	1	56	C	1000	1	1283
ethanol	560	1	78	Co ₂ O ₃	1000	3	1290
acetic acid	560	1	110	FeCl ₃	1000	4	41
CHCl ₃	560	1	49	NiO	1000	6.2	1305
CCl ₄	560	1	28	CaO	500	30	83
Acetone	560	1	56	CuO	500	0.5	701
DMF	560	1	131	WO ₃	500	0.5	532
hexane	560	1	25	V ₂ O ₅	500	9	701

Temperature change of materials after 2.45 GHz frequency microwave irradiation (*RT* samples, 50 cm³ liquid, or 25 g (1000 W) or 5-6 g (500 W) solids).



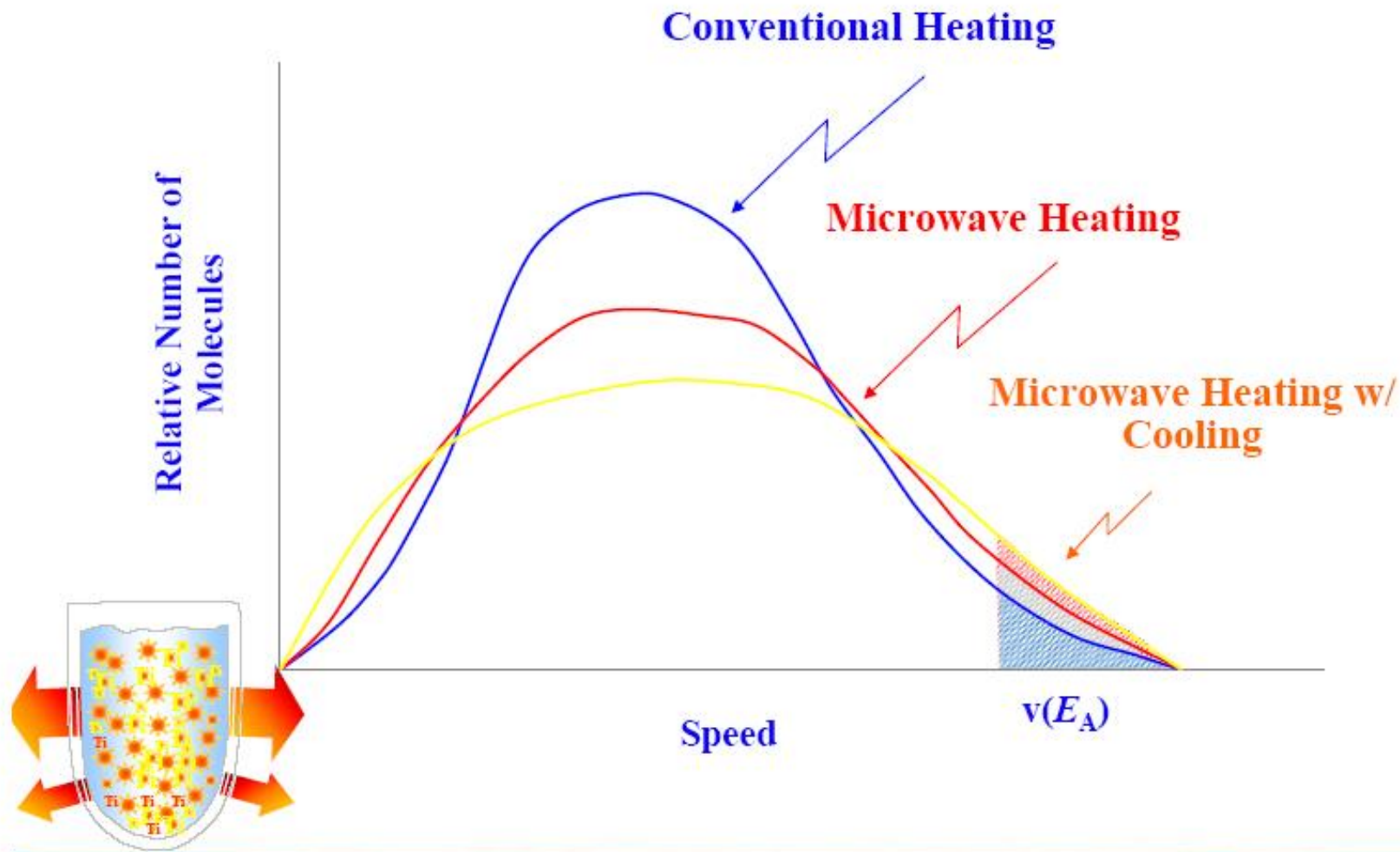
Microwaves Application in Heating Food.



- 1946: Original patent (P. L. Spencer)
- 1947: First commercial oven
- 1955: Home models
- 1967: Desktop model
- 1975: U.S. sales exceed gas ranges
- 1976: 60% of U.S. households have microwave ovens

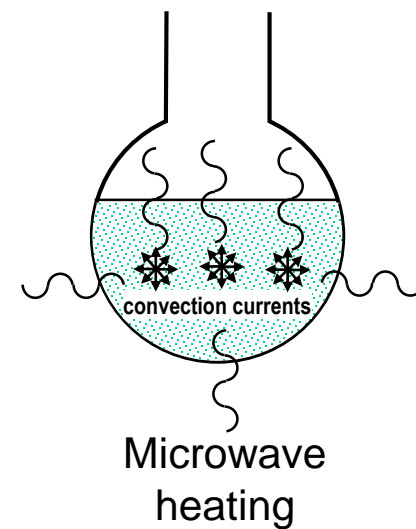
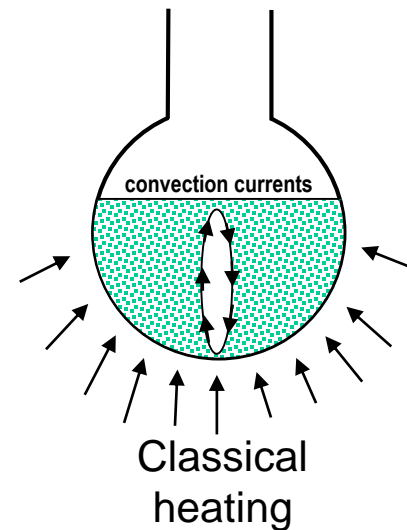
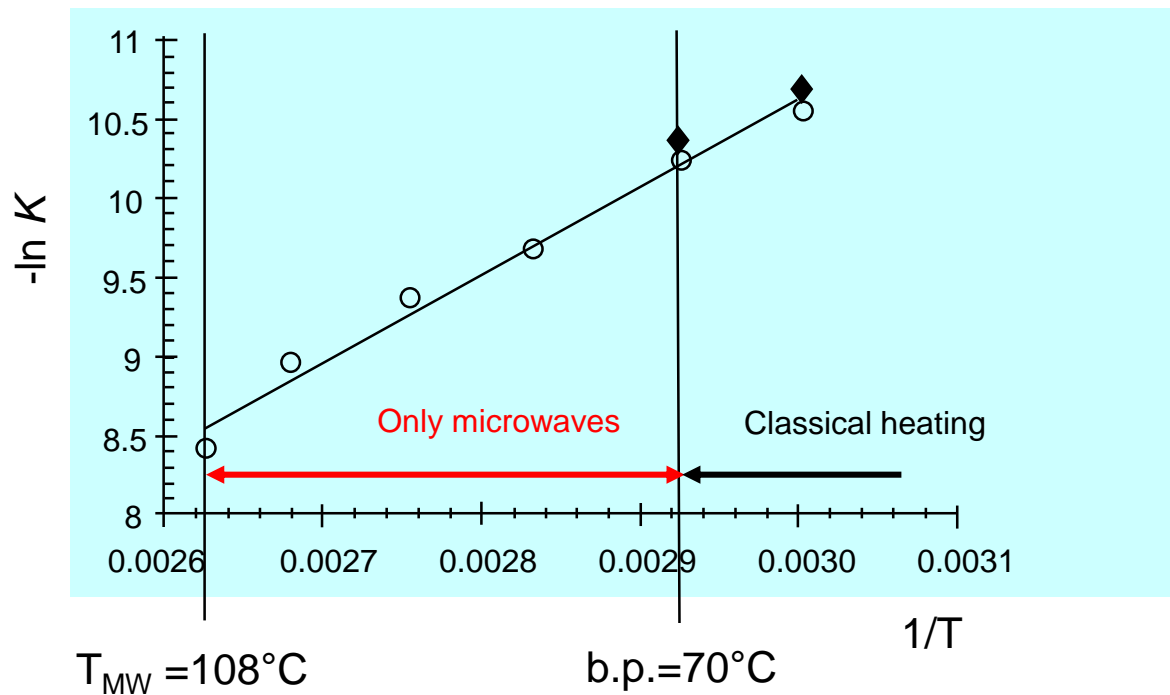


Molecular Speeds.





Boiling Point Extension.





Thermal Effects.

- More efficient energetic coupling of solvent with microwaves promotes higher rate of temperature increase
- Inverted heat transfer, volumetric
- “Hot spots” in monomode microwaves
- Selective on properties of material (solvents, catalysts, reagents, intermediates, products, susceptors)

$$\tan \delta = \varepsilon'' / \varepsilon'$$

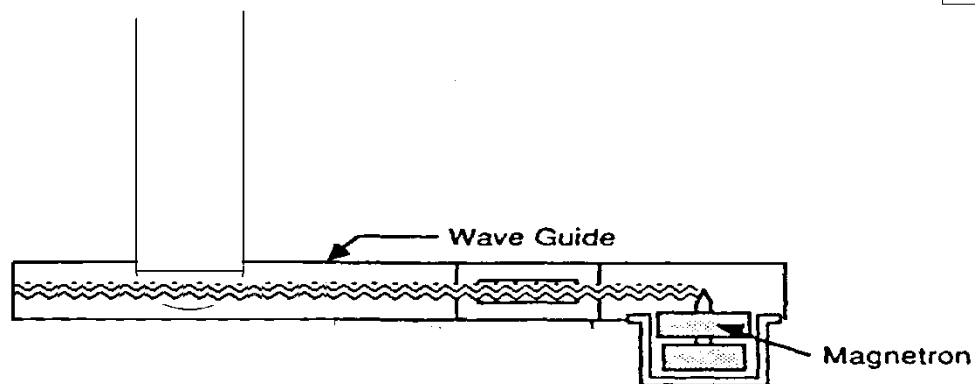
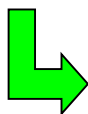
Solvent (bp °C)	Dielectric Constant (ε')	Solvent	Tan δ	Solvent	Dielectric Loss (ε'')
Water (100)	80.4	Ethylene Glycol	1.350	Ethylene Glycol	49.950
Formic Acid (100)	58.5	Ethanol	.941	Formic Acid	42.237
DMSO (189)	45.0	DMSO	.825	DMSO	37.125
DMF (153)	37.7	2-Propanol	.799	Ethanol	22.866
Acetonitrile (82)	37.5	1-Propanol	.757	Methanol	21.483
Ethylene Glycol (197)	37.0	Formic Acid	.722	Nitrobenzene	20.497
Nitromethane (101)	36.0	Methanol	.659	1-Propanol	15.216
Nitrobenzene (202)	34.8	Nitrobenzene	.589	2-Propanol	14.622
Methanol (65)	32.6	1-Butanol	.571	Water	9.889
NMP (215)	32.2	Isobutanol	.522	1-Butanol	9.764
Ethanol (78)	24.3	2-Butanol	.447	NMP	8.855
Acetone (56)	20.7	2-Methoxyethanol	.410	Isobutanol	8.248
1-Propanol (97)	20.1	o-Dichlorobenzene	.280	2-Butanol	7.063
MEK (80)	18.5	NMP	.275	2-Methoxyethanol	6.929
2-Propanol (82)	18.3	Acetic Acid	.174	DMF	6.070
1-Butanol (118)	17.1	DMF	.161	o-Dichlorobenzene	2.772
2-Methoxyethanol (124)	16.9	1,2-Dichloroethane	.127	Acetonitrile	2.325
2-Butanol (100)	15.8	Water	.123	Nitromethane	2.304
Isobutanol (108)	15.8	Chlorobenzene	.101	MEK	1.462
1,2-Dichloroethane (83)	10.4	Chloroform	.091	1,2-Dichloroethane	1.321
o-Dichlorobenzene (180)	9.9	MEK	.079	Acetone	1.118
Dichloromethane (40)	9.1	Nitromethane	.064	Acetic Acid	1.079
THF (66)	7.4	Acetonitrile	.062	Chloroform	0.437
Acetic Acid (113)	6.2	Ethyl Acetate	.059	Dichloromethane	0.382
Ethyl Acetate (77)	6.0	Acetone	.054	Ethyl Acetate	0.354
Chloroform (61)	4.8	THF	.047	THF	0.348
Chlorobenzene (132)	2.6	Dichloromethane	.042	Chlorobenzene	0.263
o-Xylene (144)	2.6	Toluene	.040	Toluene	0.096
Toluene (111)	2.4	Hexane	.020	o-Xylene	0.047
Hexane (69)	1.9	o-Xylene	.018	Hexane	0.038



Instrumentation – Mono Mode.

Two Types

- Multimode
- Domestic Microwaves
- Mono Mode

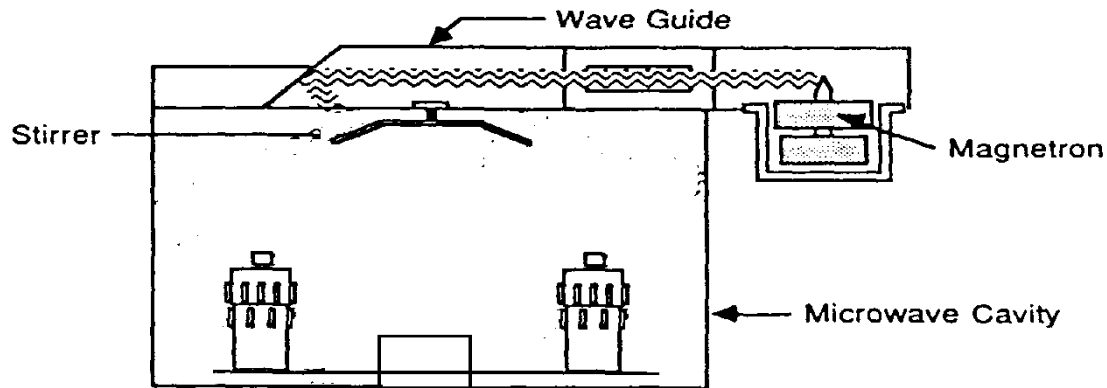


Small processing volumes
- Stationary waves (Hot Spots)
- Difficulty in Scale-up
+ High energy density

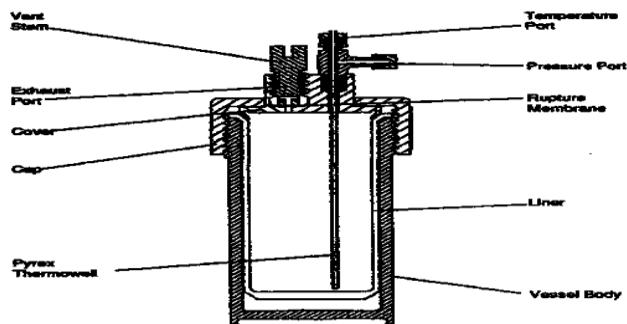
C.O. Kappe, A. Stadler: *Microwaves in Organic and Medicinal Chemistry*, Wiley, 2005



Instrumentation – Multi Mode.



Schematic of the microwave cavity, wave guide, and magnetron.



- + High energy density (higher available power)
- + Wider processing volumes (bigger MW cavity)
- + No stationary waves (no hot-spots)
- + Simple scale-up
- Minimum volume

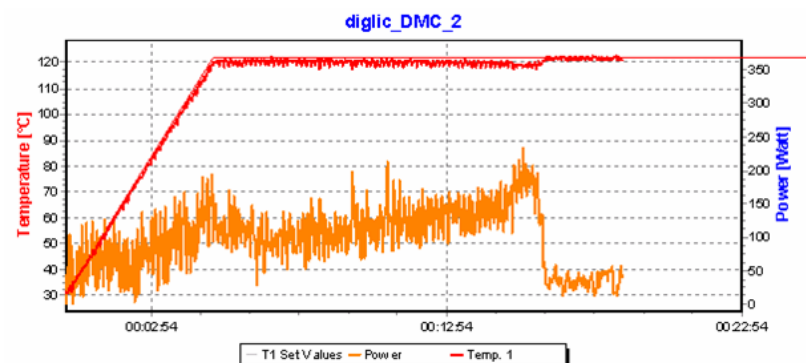


Lab. Equipment.



ETHOS 1600 by Milestone (Bg)

- (35 × 35 × 35 cm); power max 1000 W
- 2 industrial magnetrons (800 W) cooled by high flow air coolers.
- MW introduced in a mixing chamber and distributed through a metal diffuser on the backside of the instrument.



Power control via software



Optimization Equipments.



CEM Voyager SF (SF 80 mL)



Multiwave 3000 (8 x 100 mL)



Emrys Optimizer EXP.



MW Reactors.

General MW Chemistry



automated vessel transfer
reaction optimization
sealed vessels (0.2-20 mL)
SPOS, PSR, IRORI



Automated Library Synthesis



automated reagent/solvent addition
and vessel transfer
reaction optimization

Microwave Effects

FO temp measurements
simultaneous cooling
pre-cooled rxn vessels
quartz reaction vessels
passive heating elements
photochemistry (EDL)



MW Reactors

Continuous Flow

scale-up / homogeneous reactions
"microreactor" technology
heterogeneous / nanoPd catalysis



Scale-Up / Batch

parallel synthesis
open/closed vessel conditions
FO temp measurements



Autoclave Reactions

300 °C / 80 bar
nearcritical water
supercritical methanol
pre-pressurized conditions
scale-up (closed vessel)





Industrial /Chemical / Applications of Microwave Heating.

Food Processing

- + Defrosting
- + Drying / roasting / baking
- + Pasteurization

Drying Industry

- + Wood, fibres, textiles
- + Pharmaceuticals
- + Brick / concrete walls

Polymer Chemistry

- + Rubber curing, vulcanization
- + Polymerization

Ceramics/Materials

- + Alumina sintering
- + Welding, smelting, gluing

Plasma

- + Semiconductors

Waste Remediation

- + Sewage treatment

Analytical Chemistry

- + Digestion
- + Extraction
- + Ashing

Biochemistry / Pathology

- + Protein hydrolysis
- + PCR, proteomics
- + Tissue fixation
- + Histoprocessing

Medical

- + Diathermy, tumour detection
- + Blood warming
- + Sterilization (Anthrax)
- + Drying of catheters

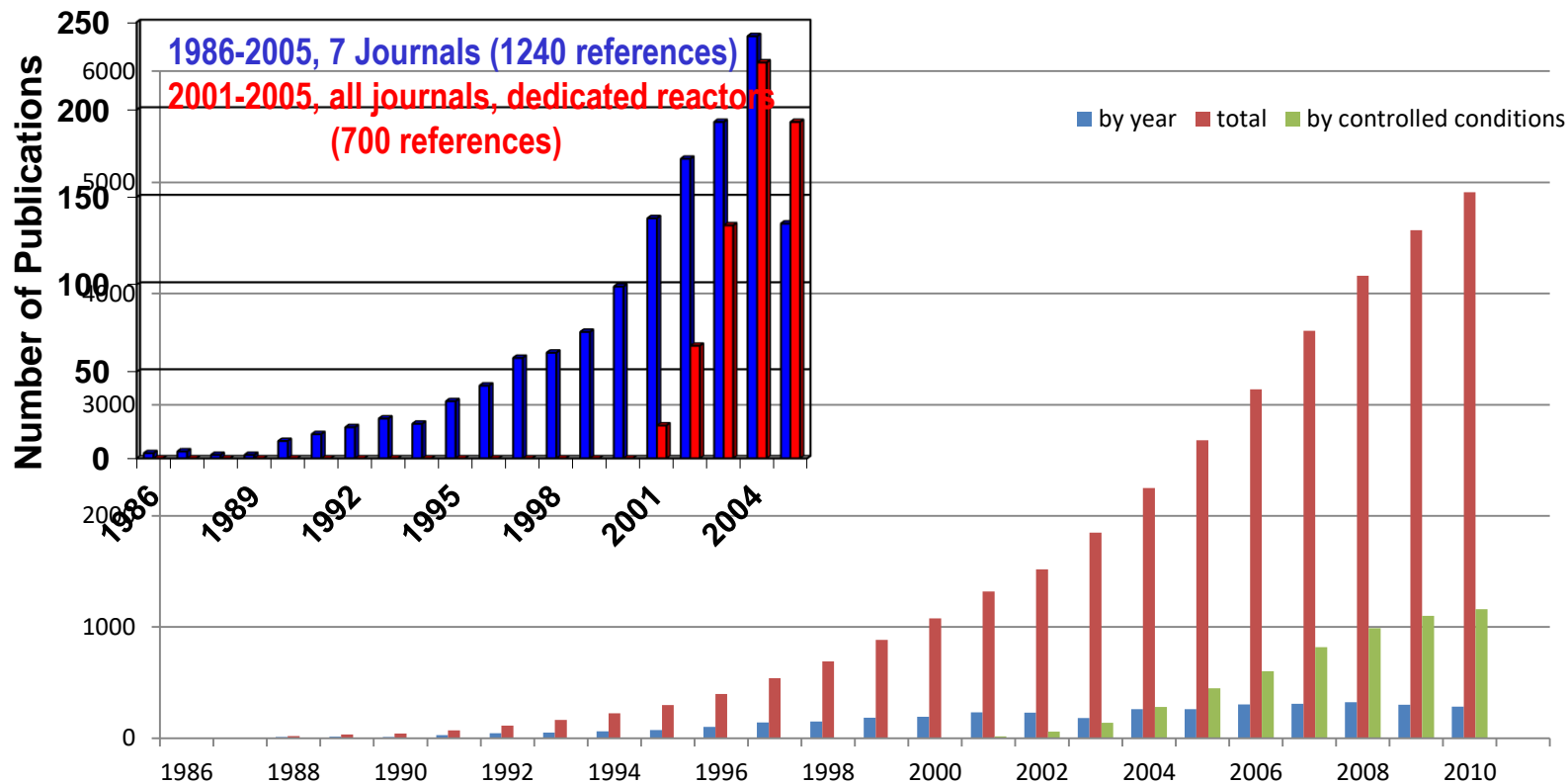


Microwave irradiation – Applications in Synthesis.

- In some cases different selectivity/reactivity compared to conventional heating
- **Extension of boiling points** of solvent
- Productivity increase (more effective energy transfer in **reduced time**, stages compaction)
- **Reduction of energy costs** (?)
- More clean manufacture and **more effective space use** (process intensification)
- **Automated optimization** (parallel and combinatorial syntheses)
- Product post treatment (drying, etc.)



Publications on MW-Assisted Organic Synthesis.

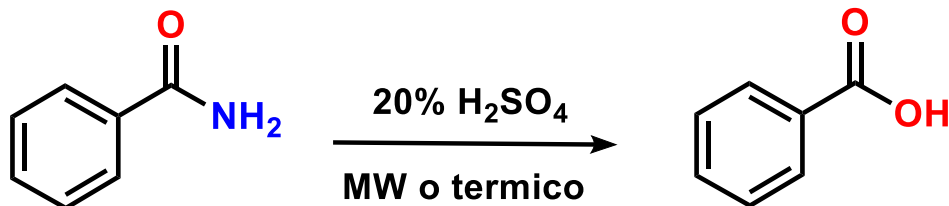


7 Synthetic Journals: *J.Org.Chem.*, *Org.Lett.*, *Tetrahedron Lett.*, *Tetrahedron*, *Synth.Commun.*, *Synlett*, *Synthesis*

All Journals (Full Text): Dedicated instruments only (Anton Paar, Biotage, CEM, Milestone, Prolabo)



Hydrolysis of Benzamide.



thermal: 1 h, 90 % yield (reflux)
MW: 10 min., 99 % yield
(sealed vessel)

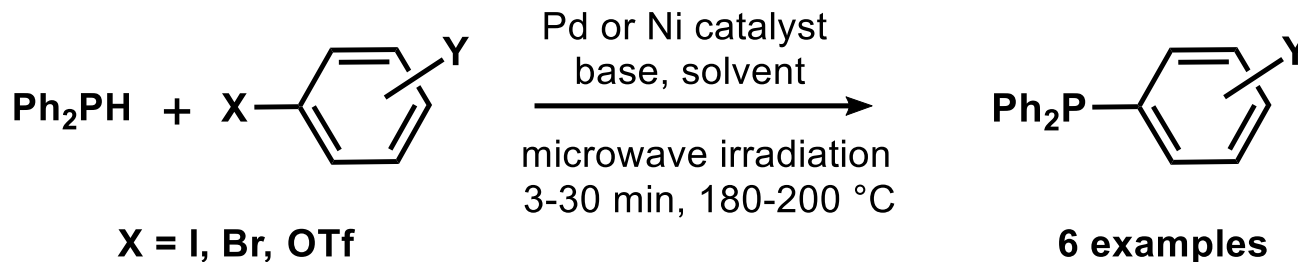
R. Gedye, et al. *Tetrahedron Lett.* **1986**, 27, 279–282.

R. J. Giguere, et al. *Tetrahedron Lett.* **1986**, 27, 4945–4958.

The first reports on the use of microwave heating to accelerate organic chemical transformations (MAOS) were published by the groups of Gedye and Giguere in 1986. In those early days, experiments were typically carried out in sealed Teflon or glass vessels in a domestic household microwave oven without any temperature or pressure measurements. The results were often violent explosions due to the rapid uncontrolled heating of organic solvents under closed-vessel conditions.



Microwave Irradiation – Applications.



X = I

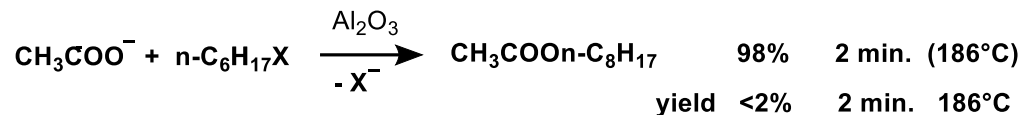
entry	PhI (mmol)	5% Pd/C (mg)	T (°C)	time (min)	yield (%)
1	0.50	10	190	3	76
2	0.60	10	190	3	93
3	0.75	20	190	3	98
4	0.75	20	200	3	96
5	0.75	20	200	2	96

Reactions carried out in DMF (1.0 ml) with 0.50 mmol of Ph₂PH in the presence of KOAc (0.50 mmol)

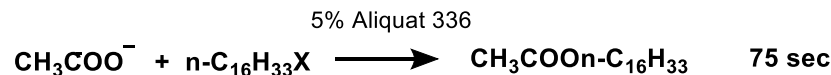


Syntheses without Solvents.

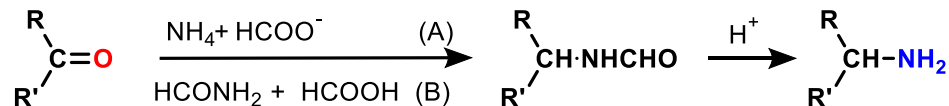
- Reactions on solid supports (M_xO_y, etc.)



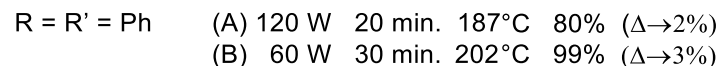
- Phase transfer catalysis (R₄N⁺X⁻, R₄P⁺X⁻, PEG)



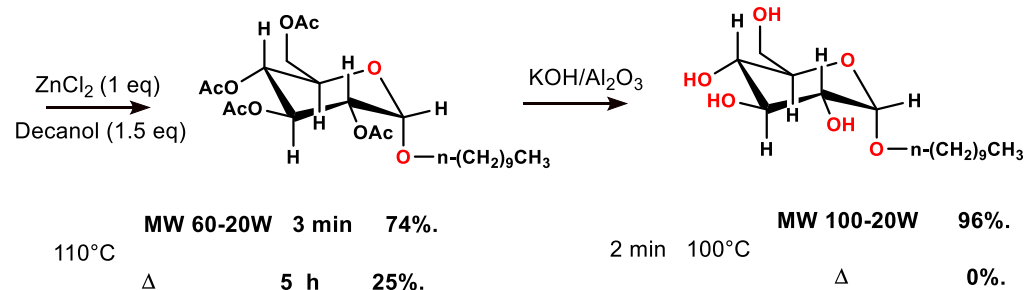
- Reactions without catalysts otherwise essentials



- Reactions with viscous liquids



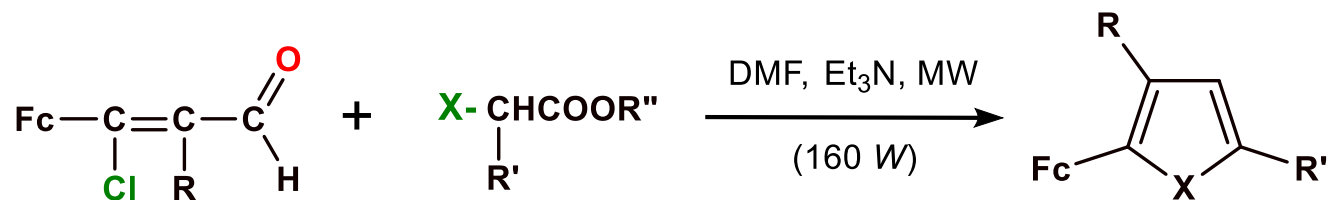
- Reactions on natural products (also solid biomass)



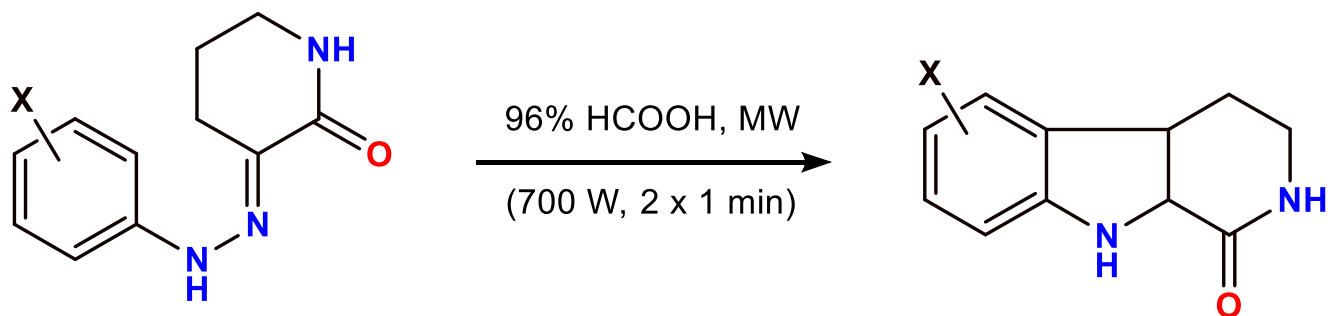
Kappe, O.; Stadler, A.; Yuosefi, B.; Dallinger, D. *Org. Process Res. Dev.* **2003**, *7*, 707.



Microwave Irradiation – Applications (2)



R	R'	R''	X	
H	H	H	S	87%
Ph	H	H	S	79%
H	H	Et	O	35%
H	Ph	H	O	15%

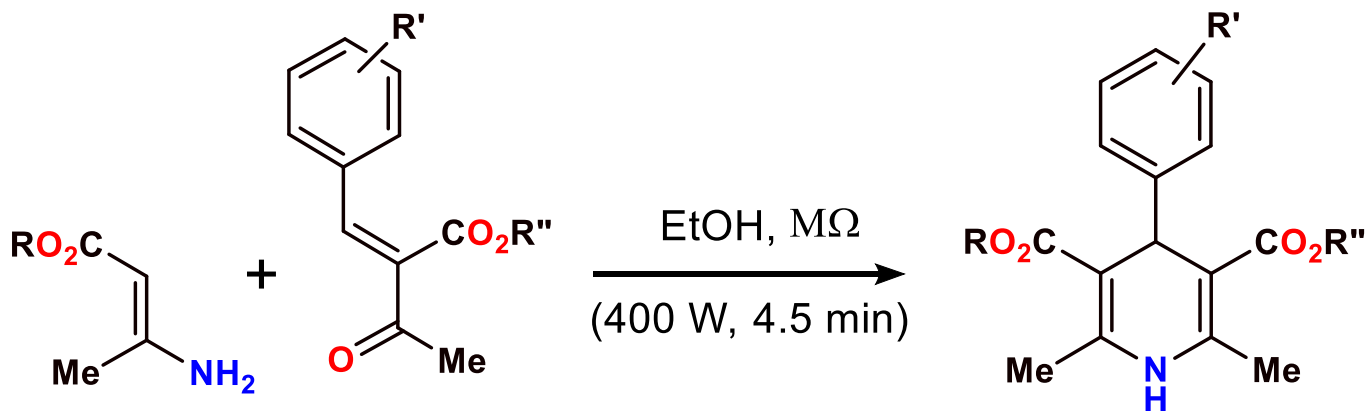


X = H, 2-Me, 4-MeO,
4-Br, 4-NO₂

72.8-88 %



Microwave Irradiation – Applications (3).



R = Me, iPr, MeOCH₂CH₂

R' = 2-es 3-Cl, 2,3-diCl, 3-Br, 2-es 3-NO₂

R'' = Me, Et, 4-Me-furyl

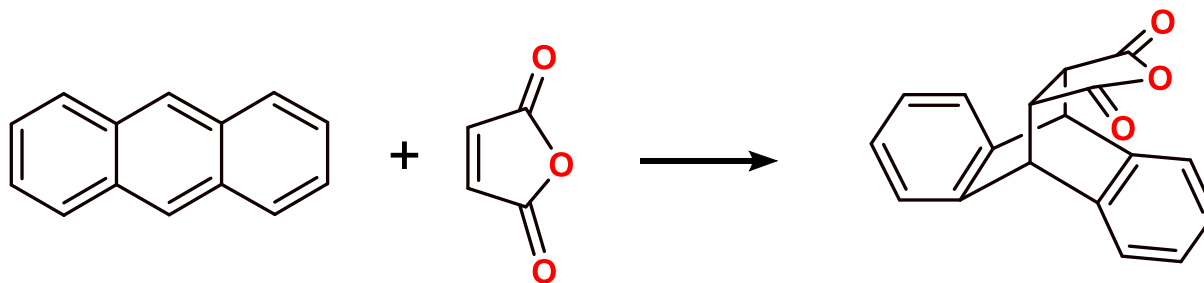
58-98 %



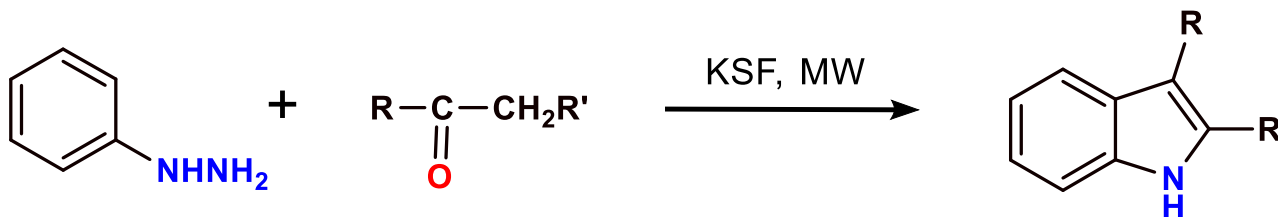
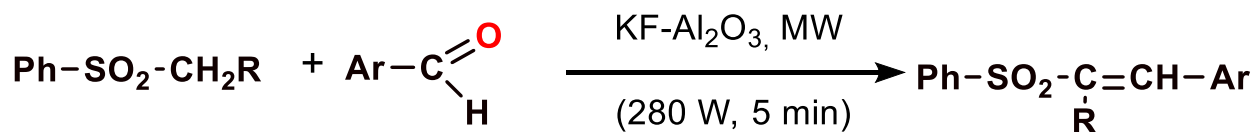
66 %



Microwave Irradiation – Applications (4).



dioxane (60 h) 90%
MW (graphite, 30 W, 3x1 min) 75%

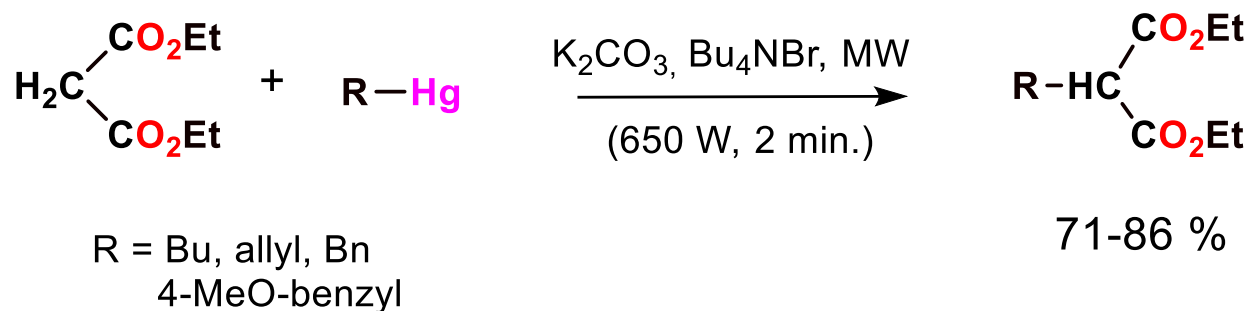
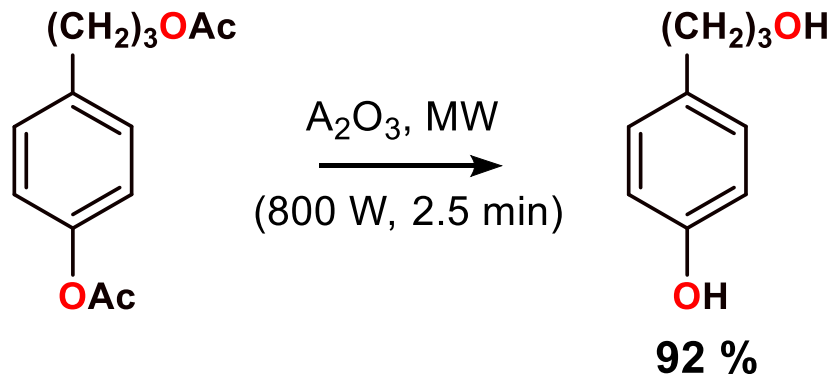
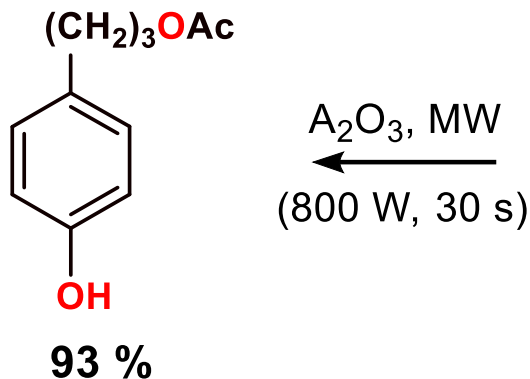


R = H, Me, Ph R' = H, Ph
R,R' = (CH₂H₃ (CH₂)₄, CHMe(CH₂)₃)

72-90 %

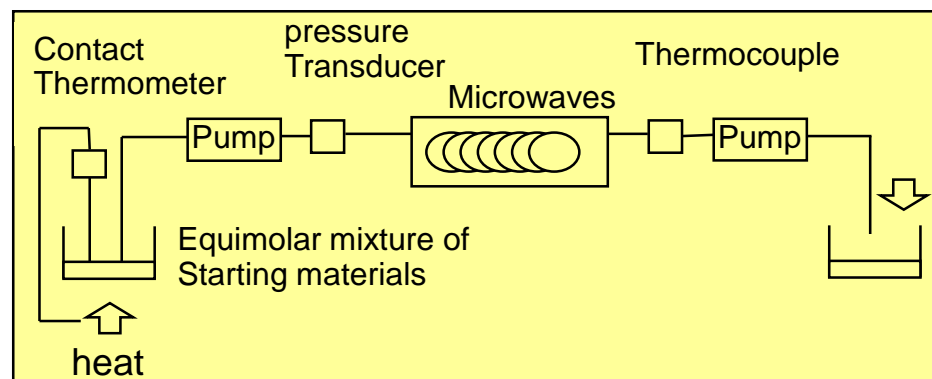
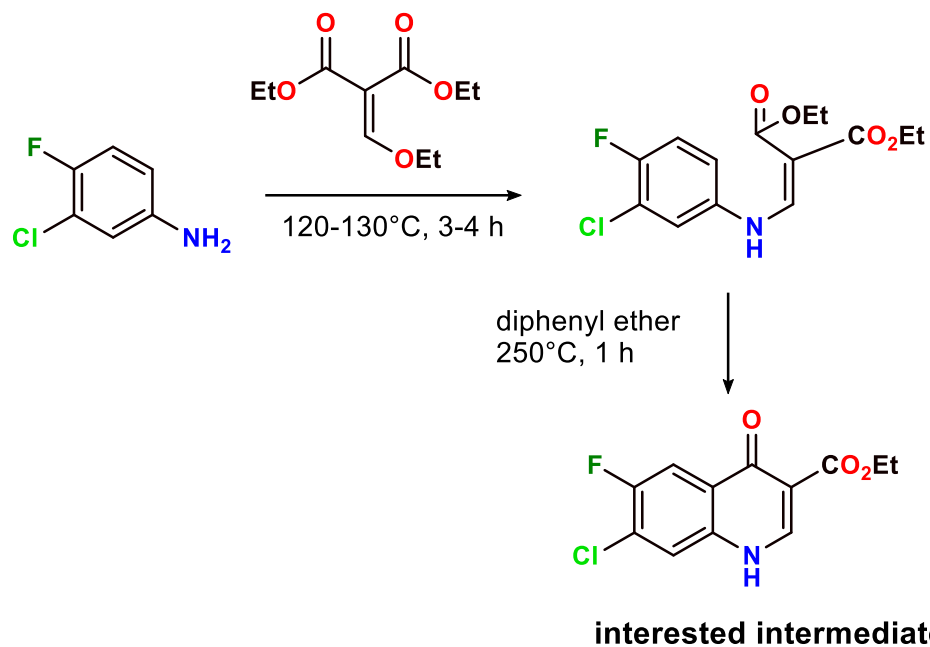
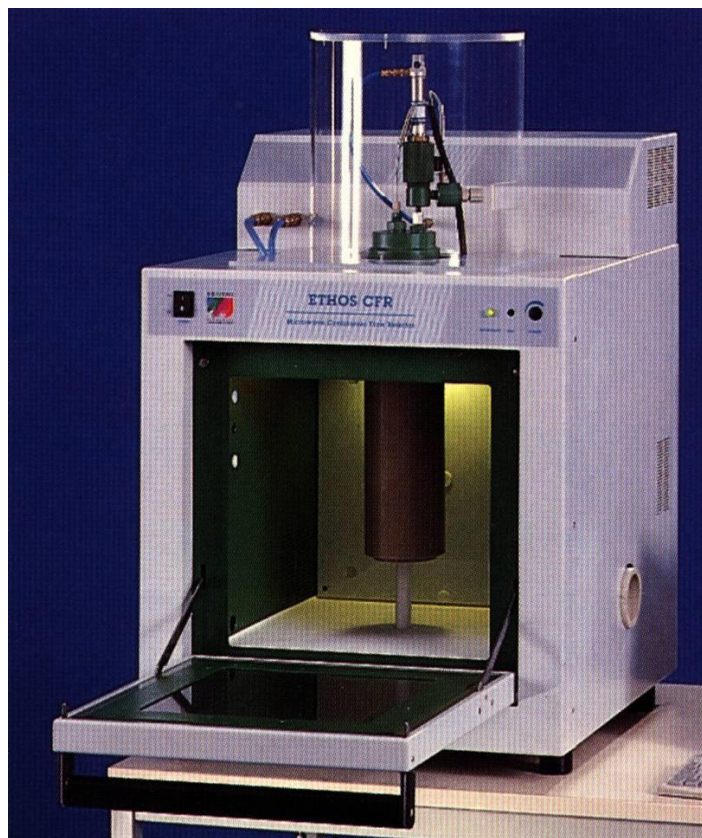


Microwave Irradiation – Applications (5).



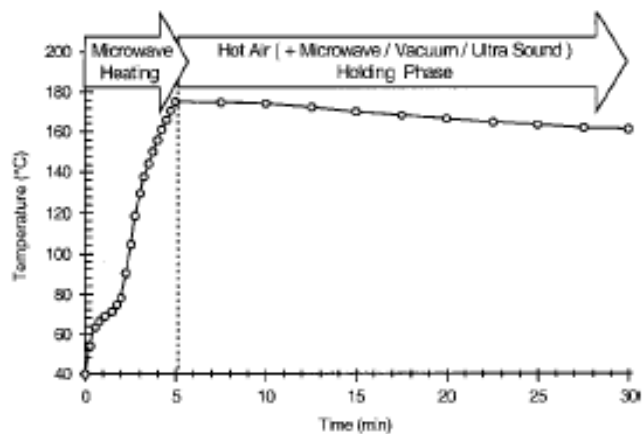


Continuous Flow Syntheses (CMWR).

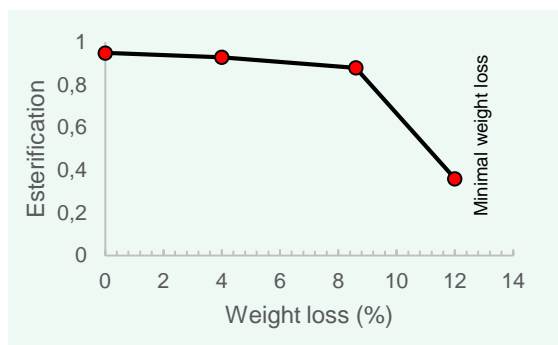




Condensation Reactions.



Exp.	Initial stage time (min)	T _{fin} (°C)	Final stage time (min)	T _{fin} (°C)	Yield (%)
I	9 min	141 °C	-	-	33
II	9 min	163 °C	-	-	78
III	6 min	170 °C	24 min	160 °C	92
IV	11 min	203 °C	19 min	130 °C	90
V	7 min	192 °C	23 min	160 °C	84
VI	12 min	170 °C	60 min	210 °C	82

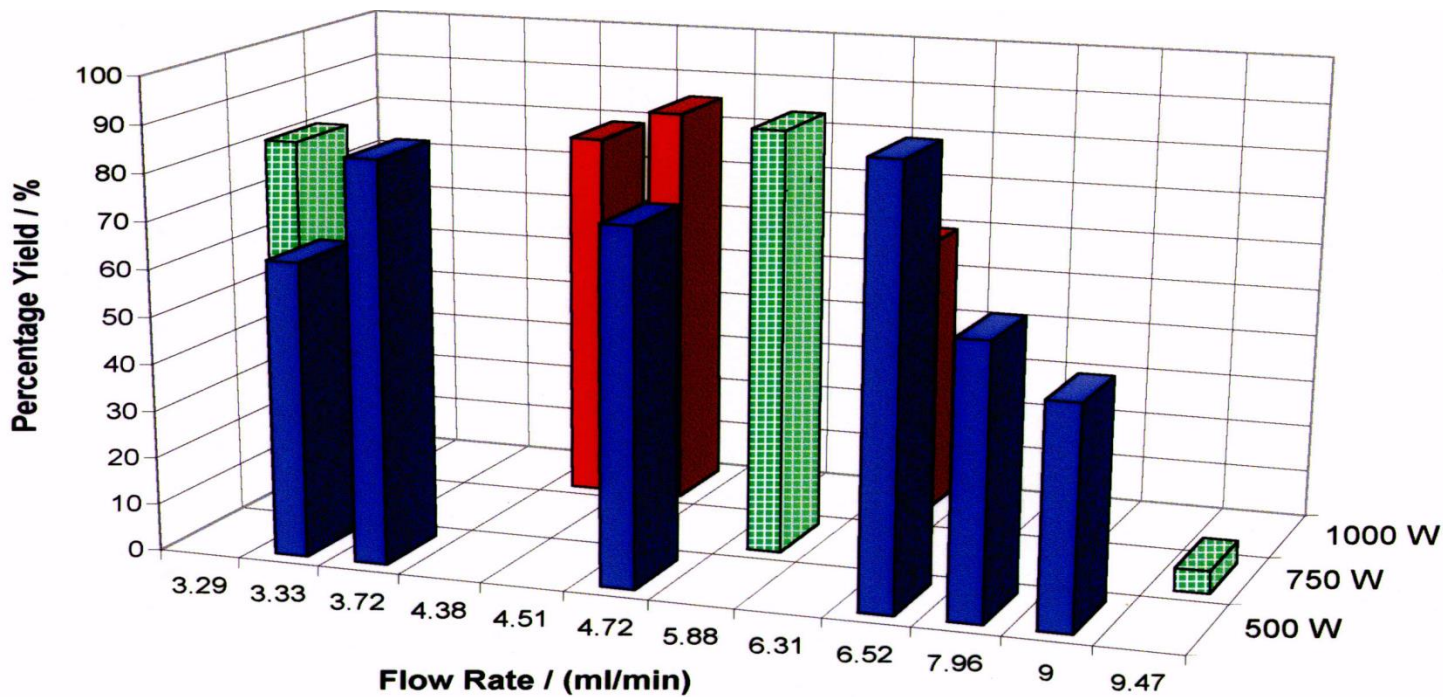
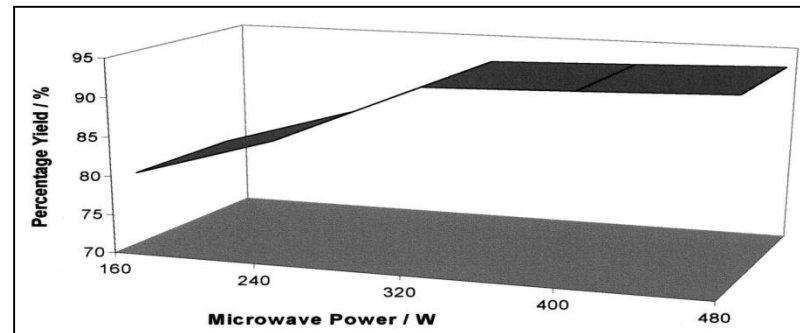


Condition	Acid Excess (%)	Time (min)	Yield (%)
Conventional air heating	0	25	94,6
Conventional air heating	5	25	96,1
Pulsed microwave (0.5 s s±1)	0	25	95,2
Pulsed microwave e (0.5 s s±1)	5	25	96,9
Vacuum (150 mbar)	0	25	94,9
Vacuum (150 mbar)	0	360	95,1
Ultrasonic (44 kHz)	0	25	94,0

E. Esveld, F. Chemat, J. van Haveren, Chem. Eng. Technol. 23 (2000) 429

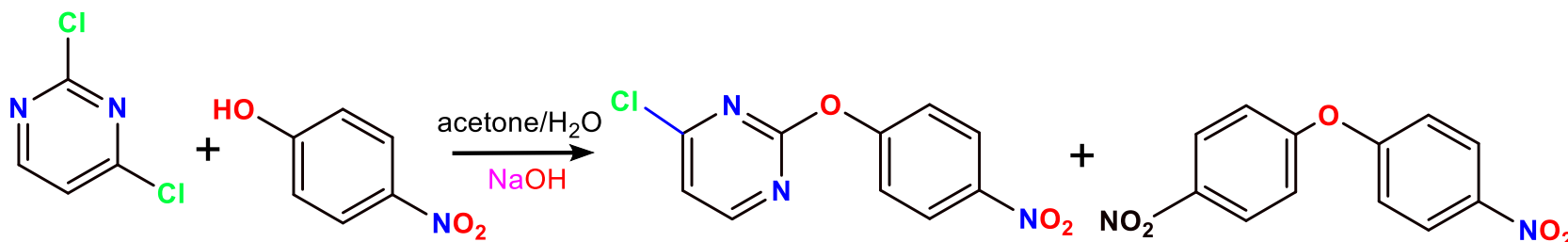


CMWR Process Optimization.





Different Equipment – A Comparison.

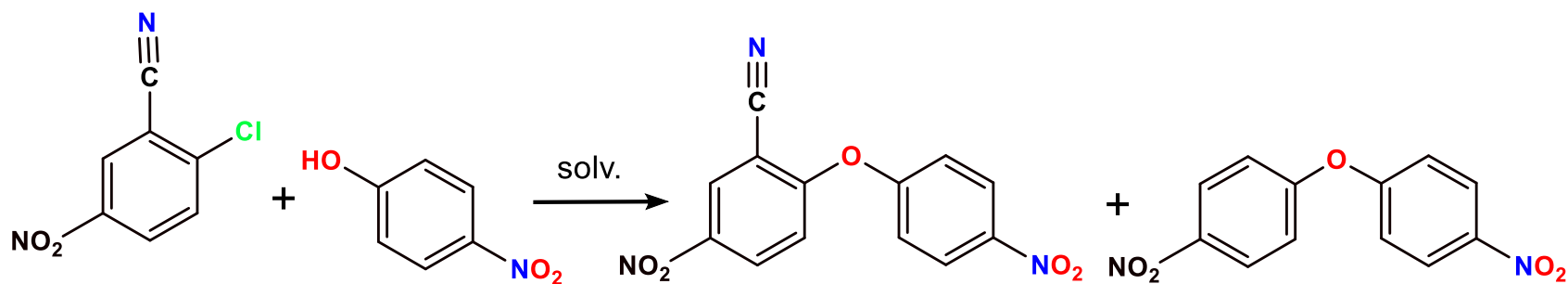


Equipment	Dim.Batch (mL)	Reaction Temp., time	work-up time	Isol. Yield (%)	Purity. ^a (%)	Notes
Conv. heating	20	62 °C, 12 h	2 h 30 min	66	80	5% MP, 20% byproducts
μW, Emrys Optimizer	15	120 °C, 5 min	10 min	>83	96	100% conversion, <5% by-product
μW, Multiwave 3000	8 - 50	120 °C, 10 min	30 min	76	90	10% byproducts
μW, Voyager SF	400	120 °C, 15 min	8 x 30 min	81	95	5% byproduct, line blocked by the precipitated products
Autoclave	400	120 °C, 10 min	275 min	74	85	>10% byprodotto

H. Lehmann* L. LaVecchia *JALA* 2005;10:412–7



Different Equipment – A Comparison.



Apparecchiatura	Dim.Batch (mL)	Temp., tempo reazione	tempo lavoraz.	Resa Isol.(%)	Purez. ^a (%)	Note
Riscald. Conv.	20	AN, riflusso, 1h		(86)		Reazione non completa
Riscald. Conv.	20	AN, riflusso, 6h	6h 30 min	76		Completa, crist. porpora scuri
Riscald. Conv.	20	DMSO, 150°C, 10 min	60 min	57	65	Miscela di prodotti e sotto-prodotti
μW, Emrys Optimizer	15	AN, 150 °C, 10 min	17 min	98	>96	cristalli gialli
μW, Multiwave 3000	4 x 50	AN, 150 °C, 10 min	25	78	>96	Riproducibile
μW, Voyager SF	300	AN, 150 °C, 10 min				Sospensione non pompabile nella linea
Autoclave	200	AN, 150 °C, 10 min	280 min	92	92	8% sotto-prodotto

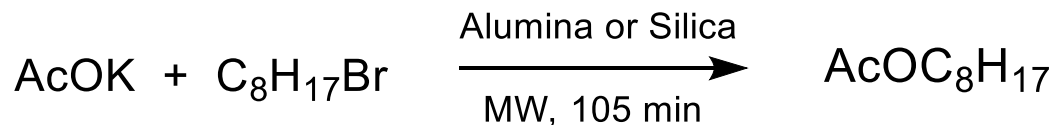
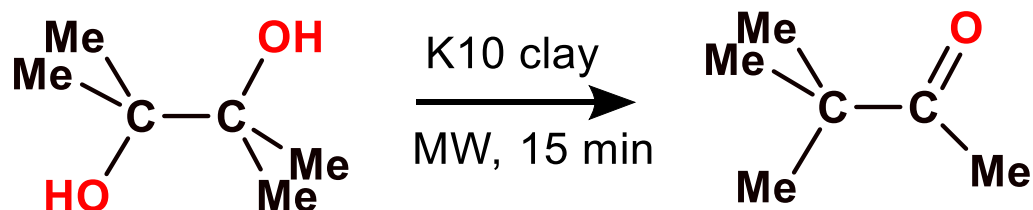


Solid-supported Solventless Reactions.

- Microwave irradiation of solventless reactions with inorganic mineral supports such as alumina, silica, or clays have resulted in faster reactions with higher yields with simplified separation.

R. S. Varma, *Tetrahedron*, 2002, 58, 1235.

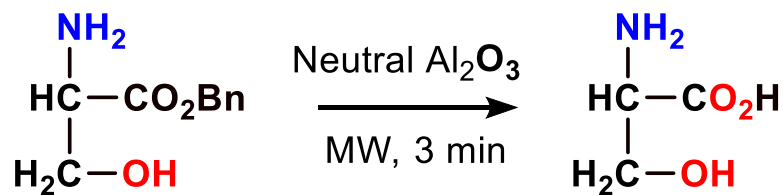
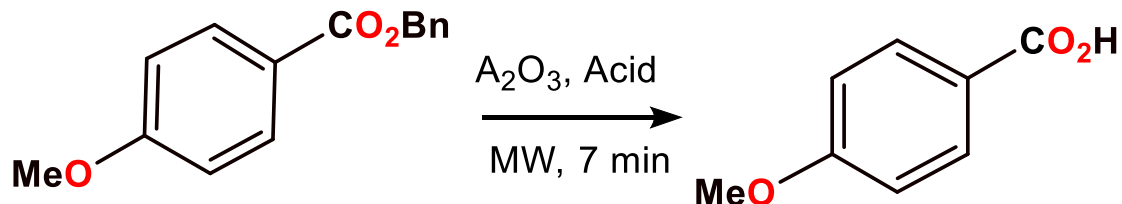
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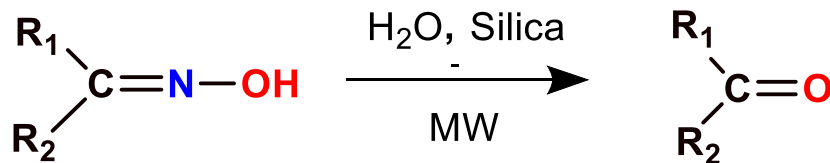
E. Gutierrez, A. Loupy, G. Bram, E. Ruiz-Hitzky, *Tetrahedron Lett.* 1989, 30, 945.



Deprotection via Microwave Thermolysis.



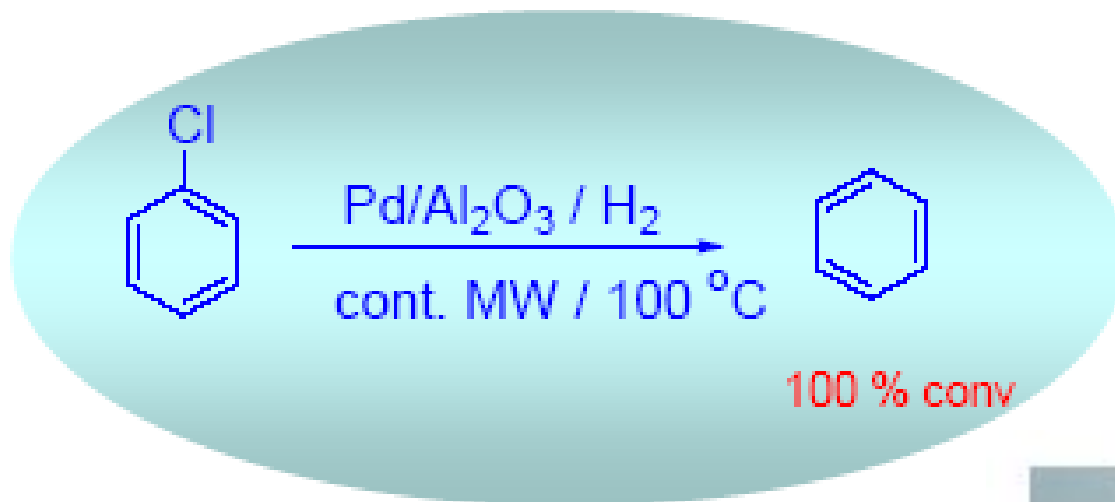
Varma et al.: *Tetrahedron Lett.*, 34, 4603 (1993)



Varma, Meshram: *Tetrahedron Lett.*, 38, 5427 (1997)



Hydrodechlorination under Continuous MW.

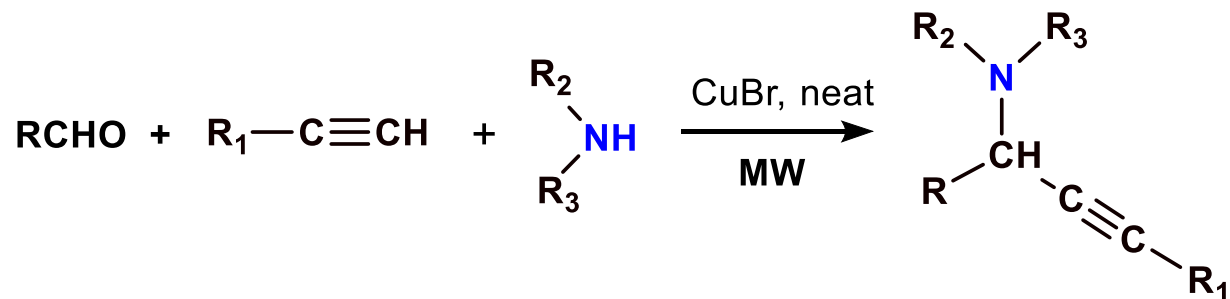


Pillai, Sahle-Demessie, Varma:
Green Chemistry, 6, 295 (2004)



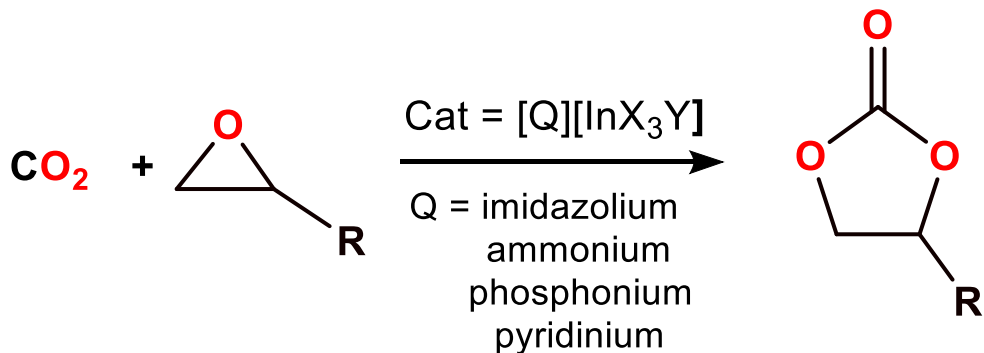


MW-Assisted Solvent-free Three Component Coupling and CO₂ Insertion.



where R = Aryl, alkyl; R₁ = Aryl, alkyl and Et₃Si;
R₂ = Alkyl, allyl, H; R₃ = Alkyl, allyl

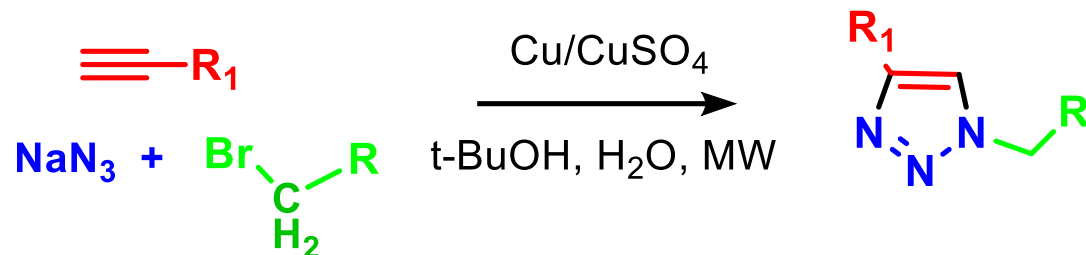
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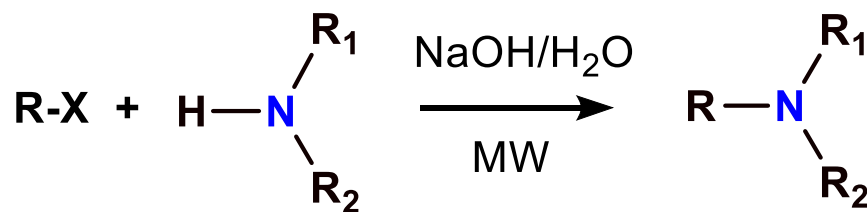
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MW Assisted Synthesis in Water.



P. Appukkuttan, W. Dehaen, V. V. Fokin, E. Van der Eycken. *Org. Lett.* 2004, 6, 4223.



R = Alkyl, allyl; R₁ = H, alkyl, allyl
X = Cl, Br, I; R₂ = alkyl, allyl

Ju, Y.; Varma, R. S., *Green Chem.* 2004, 6, 219-221.



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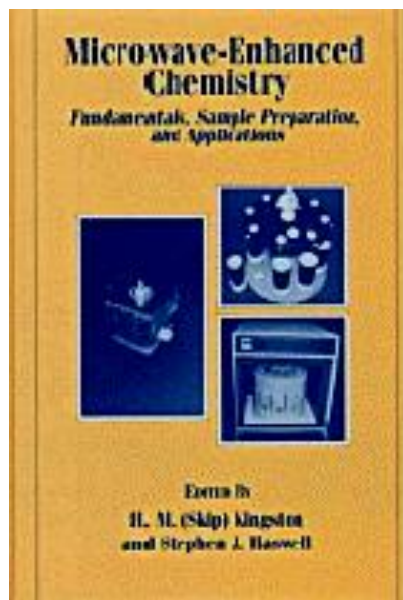
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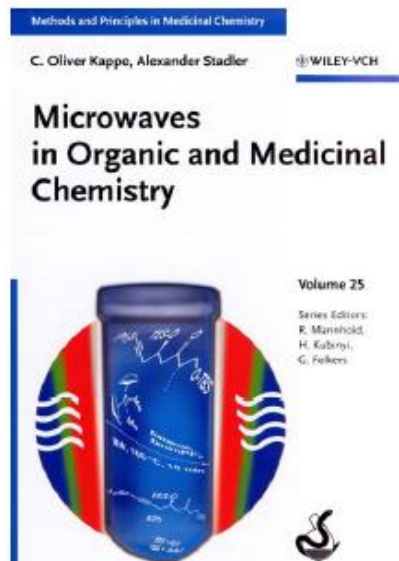
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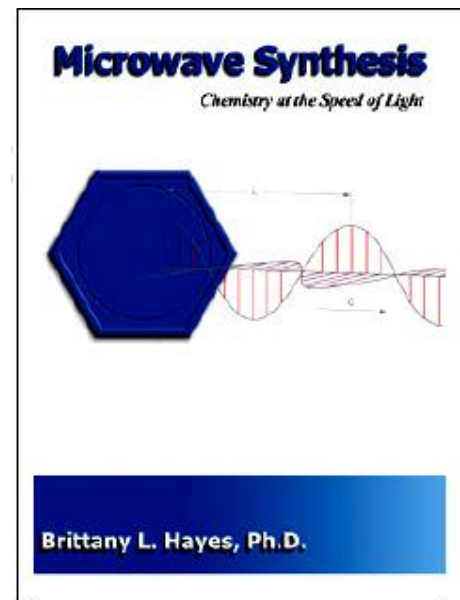
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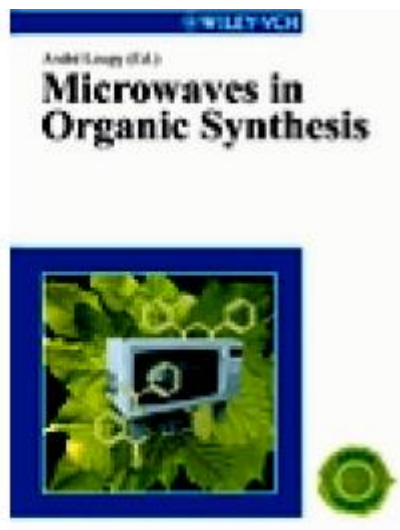
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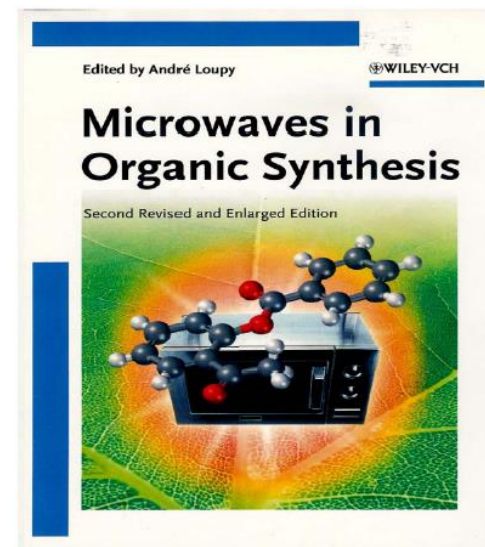
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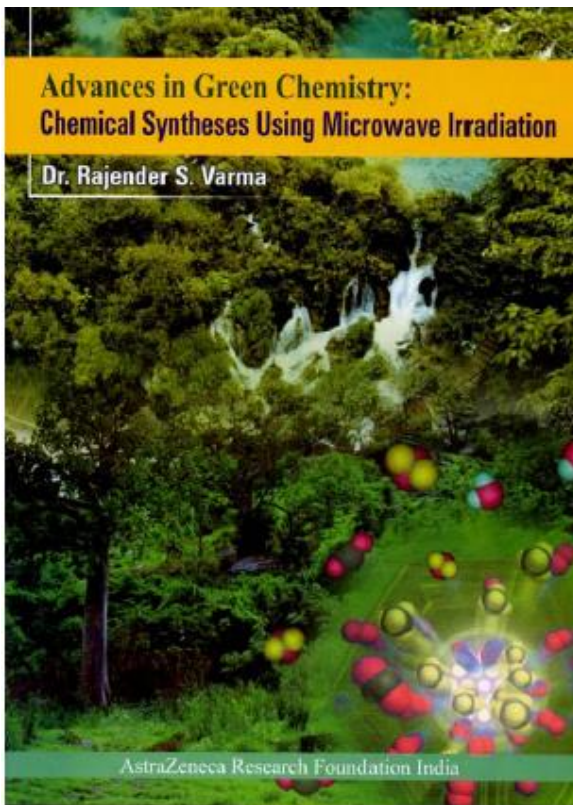
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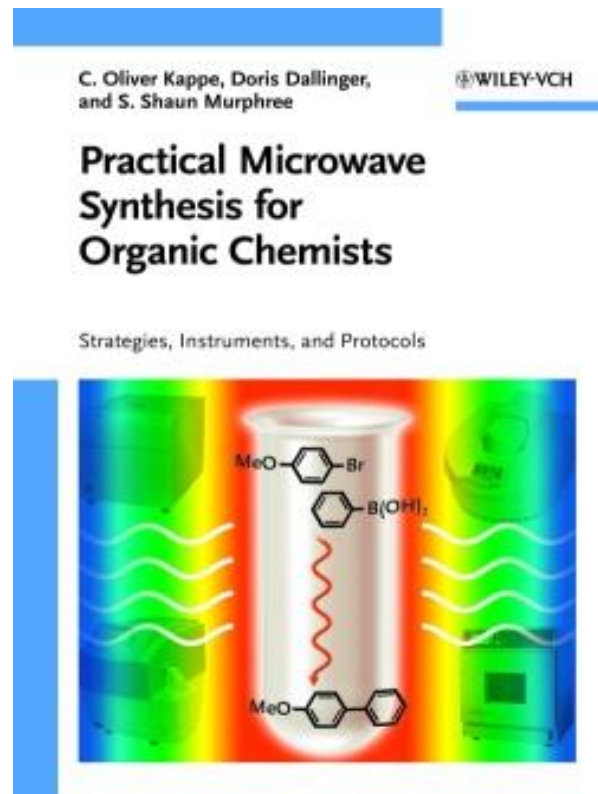
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